

# Ring completions in the perfectoid project

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joint work with

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Lean Together  
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# The perfectoid project: history



Kevin Buzzard @kbuzzard

Dec 01 2017 23:51

I would be interested in your experiences in talking to people about your interest in this stuff

A lot of people I've talked to simply have no interest or just can't see the point

Find some strong algebraists

and ask them what they think about the computer proof of the odd order theorem



Patrick Massot @PatrickMassot

Dec 01 2017 23:52

I'm afraid strong algebraists in Orsay don't care about the odd order theorem, computer or not



Kevin Buzzard @kbuzzard

Dec 01 2017 23:52

heh



Patrick Massot @PatrickMassot

Dec 01 2017 23:53

Let me try with Laumon and Fontaine for a laugh

Clearly people in Orsay will want to see perfectoid spaces in Lean before being impressed



Kevin Buzzard @kbuzzard

Dec 01 2017 23:56

funny you mention that

I was talking to Mario earlier about implementing them

## The perfectoid project: the team



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# The perfectoid project: the team



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# Why perfectoid spaces?

Fields medal awarded in 2018 to Peter Scholze “for transforming arithmetic algebraic geometry over  $p$ -adic fields through his introduction of perfectoid spaces, with application to...”

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*The essential difficulty in writing “Étale cohomology of diamonds” was (by far) not giving the proofs, but finding the definitions. But even beyond mere language, we perceive mathematical nature through the lenses given by definitions, and it is critical that the definitions put the essential points into focus.*

## Composing limits

# Limits

Live demo: composing limits.

# Filters: definition

## Definition

A filter on a type  $X$  is a set  $\mathcal{F}$  of subsets of  $X$  such that

- $X \in \mathcal{F}$
- $(U \in \mathcal{F} \text{ and } U \subset V) \Rightarrow V \in \mathcal{F}$
- $U, V \in \mathcal{F} \Rightarrow U \cap V \in \mathcal{F}$

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## Example

- $X$  topological space,  $x \in X$ ,  $\mathcal{N}_x = \{\text{neighborhoods of } x\}$
- $X = \mathbb{N}$ ,  $\mathcal{N}_\infty = \{\text{complements of finite subsets}\}$
- $X = \mathbb{R}$ ,  $\mathcal{N}_{+\infty} = \{U \text{ containing some } [A, +\infty)\}$
- $X = \mathbb{R}$ ,  $a \in X$ ,  $\mathcal{N}_{a+} = \{U \text{ containing some } [x, x + \varepsilon), \varepsilon > 0\}$

# Filters: limits and composition

## Definition

$f : X \rightarrow Y$  tends to  $\mathcal{G} \in \text{Filter}(Y)$  along  $\mathcal{F} \in \text{Filter}(X)$  if

$$\forall V \in \mathcal{G}, \quad f^{-1}(V) \in \mathcal{F}.$$

Limits compose (Live demo)



## Filters: order, push-forward and limits

Partial order:  $\mathcal{F} \leq \mathcal{G}$  if  $\mathcal{G} \subset \mathcal{F}$ .

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# Composition of limits

## Lemma

- $f_*$  is non-increasing:  $\mathcal{F}_1 \leq \mathcal{F}_2 \Rightarrow f_*\mathcal{F}_1 \leq f_*\mathcal{F}_2$
- $(g \circ f)_* = g_* \circ f_*$

## Corollary

*Limits compose.*

(Lean)

## Filters: pull-back and Galois connection

$$f : X \rightarrow Y, \mathcal{G} \in \text{Filter}(Y) \rightsquigarrow f^* \mathcal{G} \in \text{Filter}(X)$$

$$f^* \mathcal{G} := \{U \subset X \mid \exists V \in \mathcal{G}, f^{-1}(V) \subset U\}.$$

If  $f$  is injective then  $f^* \mathcal{G} := \{f^{-1}(V)\}_{V \in \mathcal{G}}$ .

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Example:  $X \subset Y$  equipped with subspace topology,  $\iota : X \hookrightarrow Y$ ,  $\mathcal{N}_x^X = \iota^* \mathcal{N}_x^Y$ . It was secretly used in our filter examples slide.

This is *not* inverse to push-forward, but it's also non-increasing and:

$$f_* \mathcal{F} \leq \mathcal{G} \Leftrightarrow \mathcal{F} \leq f^* \mathcal{G}.$$

# Topological rings and uniform spaces

## Another view on $\mathbb{Z}_p$

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Using  $\pi_n : \mathbb{Z}/p^{n+1} \rightarrow \mathbb{Z}/p^n$

$$\begin{aligned}\mathbb{Z}_p &= \varprojlim \mathbb{Z}/p^n \\ &= \left\{ (a_n)_{n \geq 1} \in \prod_{n \geq 1} \mathbb{Z}/p^n \mid \pi_n(a_{n+1}) = a_n \right\}.\end{aligned}$$

Link with the completion idea?

## $I$ -adic topology

In  $\mathbb{Z}$ , define  $\mathcal{N}_0 := \{U \mid \exists n, p^n \mathbb{Z} \subset U\}$

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 It can fail to be a metric topology (non-Hausdorff).

## Cauchy sequences?

Problem: a topology is not enough data to talk about completions.  
Remember a sequence  $(u_n)$  is Cauchy if

$$\forall \varepsilon > 0, \exists N, \forall m, n \geq N, \quad |u_m - u_n| \leq \varepsilon.$$

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Generalize to either

$$\forall \varepsilon > 0, \exists N, \forall m, n \geq N, \quad d(u_n, u_m) \leq \varepsilon$$

or

$$\forall U \in \mathcal{N}_0, \exists N, \forall m, n \geq N, \quad u_m - u_n \in U.$$



# Uniform spaces

## Definition

A uniform structure on a set  $X$  is a filter  $\mathcal{U}$  on  $X \times X$  such that:

- every  $V \in \mathcal{U}$  contains the diagonal
- $((x, y) \mapsto (y, x))_* \mathcal{U} \leq \mathcal{U}$
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$(X, d)$  metric space  $\rightsquigarrow V \in \mathcal{U} \Leftrightarrow \exists \varepsilon > 0, \{d(x, x') < \varepsilon\} \subset V$

$(G, +)$  additive topological group  $\rightsquigarrow \mathcal{U} = (-)^* \mathcal{N}_0$ .

# Uniform continuity

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Recall  $f : \mathbb{R} \rightarrow \mathbb{R}$  is uniformly continuous if:

$$\forall \varepsilon \exists \eta \forall x, y \quad |x - y| \leq \eta \Rightarrow |f(x) - f(y)| \leq \varepsilon.$$

## Definition

A function  $f : X \rightarrow Y$  between uniform spaces is uniformly continuous if

$$\forall V \in \mathcal{U}_Y, \exists U \in \mathcal{U}_X, (x, x') \in U \Rightarrow (f(x), f(x')) \in V.$$

## Lemma

*Uniform continuous implies continuous*

(Lean)

# Uniform continuous implies continuous

Alternative definition:  $f : X \rightarrow Y$  is uniformly continuous if  $(f \times f)_* \mathcal{U}_X \leq \mathcal{U}_Y$  or, equivalently,  $\mathcal{U}_X \leq (f \times f)^* \mathcal{U}_Y$

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Proof of continuity: Fix any  $x \in X$ , remember  $\mathcal{N}_x = \iota_x^* \mathcal{U}_X$ , and compute, still using  $(f \times f) \circ \iota_x = \iota_{f(x)} \circ f$ :

$$\begin{aligned}\mathcal{N}_x &= \iota_x^* \mathcal{U}_X \\ &\leq \iota_x^* (f \times f)^* \mathcal{U}_Y \\ &= \iota_x^* (f \times f)^* \mathcal{U}_X \\ &= f^* \iota_{f(x)}^* \mathcal{U}_X \\ &= f^* \mathcal{N}_{f(x)}\end{aligned}$$

So  $\mathcal{N}_x \leq f^* \mathcal{N}_{f(x)}$ , hence  $f_* \mathcal{N}_x \leq \mathcal{N}_{f(x)}$ .

# Completions



# Completion functor

One can define completeness for uniform spaces (skipped here).

We want, for each uniform space  $X$ , a complete one  $\hat{X}$ , and

$$i_X : X \rightarrow \hat{X} \text{ such that } \begin{array}{ccc} X & \xrightarrow{f} & Y \\ i_X \downarrow & & \downarrow i_Y \\ \hat{X} & \xrightarrow[\exists! \hat{f}]{} & \hat{Y} \end{array} \text{ commutes}$$

With  $\hat{X}$  “minimal”,  $\widehat{f \circ g} = \hat{f} \circ \hat{g}$ , and  $\widehat{\text{id}_X} = \text{id}_{\hat{X}}$ .

# Minimal?

$(\hat{X}, i_X)$  minimal means that, for every map  $f$  into a *complete*  $Z$

$$\begin{array}{ccc} X & \xrightarrow{f} & Z \\ i_X \downarrow & \nearrow \exists! \tilde{f} & \\ \hat{X} & & \end{array}$$

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This  $\simeq$  allows to construct  $\widehat{\quad}$  on maps:

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$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ i_X \downarrow & \searrow i_Y \circ f & \downarrow i_Y \\ \hat{X} & \xrightarrow{\quad \widetilde{i_Y \circ f} \quad} & \hat{Y} \end{array}$$

## The case of groups

$G$  topological group (eg. additive structure on a topological ring)

We want

- topological group structure on  $\hat{G}$
- $i_G$  a group morphism
- $f : G \rightarrow K$  (continuous) group morphism into *complete* group  $K$  implies  $\tilde{f}$  group morphism

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Hence  $\hat{f}$  is a group morphism since:

$$\begin{array}{ccc} G & \xrightarrow{f} & H \\ i_G \downarrow & \searrow i_H \circ f & \downarrow i_H \\ \hat{G} & \dashrightarrow & \hat{H} \\ & \hat{f} = \widetilde{i_H \circ f} & \end{array}$$

(Switch to Lean)

## The issue

We have:

$$\begin{array}{ccccc} & (G, +, \mathcal{U}_{+, \mathcal{T}}) & \rightsquigarrow & (\hat{G}, \hat{+}, \widehat{\mathcal{U}_{+, \mathcal{T}}}) & & (\hat{G}, \hat{+}, \mathcal{U}_{\hat{+}, \hat{\mathcal{T}}}) \\ & \nearrow & & \searrow & & \nearrow \\ (G, +, \mathcal{T}) & & & (\hat{G}, \hat{+}, \hat{\mathcal{T}}) & & \end{array}$$

But  $\widehat{\mathcal{U}_{+, \mathcal{T}}} = \mathcal{U}_{\hat{+}, \hat{\mathcal{T}}}$  is not obvious in any way.



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But  $\widehat{\mathcal{U}_{+, \mathcal{T}}} = \mathcal{U}_{\hat{+}, \hat{\mathcal{T}}}$  is not obvious in any way.

Bourbaki's solution:

- if  $X$  is any random uniform space, its completion is denoted by  $\hat{X}$ , functorial properties of  $\hat{X}$  and  $i_X$  are proved.
- if  $G$  is a topological group, prove there is a topological group which is complete, has a morphism from  $G$  etc. Denote it by  $\hat{G}$ .

# A better setup

## Definition

A uniform additive group is  $(G, +, \mathcal{U})$  such that subtraction is uniformly continuous.

## Lemma

- $\forall (G, +, \mathcal{U})$  uniform add group,  $\mathcal{U} = (-)^* \mathcal{N}_0$ .
- $\forall (G, +, \mathcal{T})$  commutative,  $(G, +, (-)^* \mathcal{N}_0)$  is a uniform add group.
- $\forall (G, +, \mathcal{U})$  uniform add group, there exists  $\hat{+}$  on  $\hat{G}$  such that  $(\hat{G}, \hat{+}, \hat{\mathcal{U}})$  is a uniform add group.