Ring completions in the perfectoid project

PATRICK MASSOT (Orsay)

joint work with

KEVIN BUZZARD (IC London), JOHAN COMMELIN (Freiburg), ...



Lean Forward

The perfectoid project: history

ė.	Kevin Buzzard @kbuzzard I would be interested in your experiences in talking to people about your interest in this stuff A lot of people I've talked to simply have no interest or just can't see the point	Dec 01 2017 23:51
	Find some strong algebraists and ask them what they think about the computer proof of the odd order theorem	
	Patrick Massot @PatrickMassot I'm affraid strong algebraists in Orsay don't care about the odd order theorem, computer or no	Dec 01 2017 23:52 t
ė.	Kevin Buzzard @kbuzzard heh	Dec 01 2017 23:52
9	Patrick Massot @PatrickMassot Let me try with Laumon and Fontaine for a laugh Clearly people in Orsay will want to see perfectoid spaces in Lean before being impressed	Dec 01 2017 23:53
és,	Kevin Buzzard @kbuzzard funny you mention that	Dec 01 2017 23:56
	I was talking to Mario earlier about implementing them	



















































Why perfectoid spaces?

Fields medal awarded in 2018 to Peter Scholze "for transforming arithmetic algebraic geometry over p-adic fields through his introduction of perfectoid spaces, with application to..."

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The essential difficulty in writing "Étale cohomology of diamonds" was (by far) not giving the proofs, but finding the definitions. But even beyond mere language, we perceive mathematical nature through the lenses given by definitions, and it is critical that the definitions put the essential points into focus. Composing limits

Live demo: composing limits.

Filters: definition

Definition

A filter on a type X is a set \mathcal{F} of subsets of X such that

- $\bullet \ X \in \mathcal{F}$
- $\bullet \ (U \in \mathcal{F} \text{ and } U \subset V) \Rightarrow V \in \mathcal{F}$
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Example

- X topological space, $x \in X$, $\mathcal{N}_x = \{ \text{neighborhoods of x} \}$
- $X = \mathbb{N}$, $\mathcal{N}_{\infty} = \{ \text{complements of finite subsets} \}$
- $\bullet \ X = \mathbb{R} \text{, } \mathcal{N}_{+\infty} = \{ U \text{ containing some } [A, +\infty) \}$
- $\bullet \ X=\mathbb{R} \text{, } a\in X \text{, } \mathcal{N}_{a^+}=\{U \text{ containing some } [x,x+\varepsilon), \varepsilon>0\}$

Filters: limits and composition

$\begin{array}{l} \text{Definition} \\ f:X \to Y \text{ tends to } \mathcal{G} \in \operatorname{Filter}(Y) \text{ along } \mathcal{F} \in \operatorname{Filter}(X) \text{ if} \\ \\ \forall V \in G, \quad f^{-1}(V) \in \mathcal{F}. \end{array}$

Limits compose (Live demo)

Filters: order, push-forward and limits

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$$\begin{split} f:X\to Y,\ \mathcal{F}\in \operatorname{Filter}(X)\rightsquigarrow f_*\mathcal{F}\in \operatorname{Filter}(Y)\\ f_*\mathcal{F}:=\{V\subset Y\ ;\ f^{-1}(V)\in \mathcal{F}\}. \end{split}$$

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Definition

 $f: X \to Y$ tends to $\mathcal{G} \in \operatorname{Filter}(Y)$ along $\mathcal{F} \in \operatorname{Filter}(X)$ if

 $f_*\mathcal{F} \leq \mathcal{G}.$

Composition of limits

Lemma

- f_* is non-increasing: $\mathcal{F}_1 \leq \mathcal{F}_2 \Rightarrow f_* \mathcal{F}_1 \leq f_* \mathcal{F}_2$
- $\bullet \ (g\circ f)_*=g_*\circ f_*$

Corollary

Limits compose.

(Lean)

Filters: pull-back and Galois connection

$$\begin{split} f:X \to Y, \ \mathcal{G} \in \operatorname{Filter}(Y) \rightsquigarrow f^*\mathcal{G} \in \operatorname{Filter}(X) \\ f^*\mathcal{G} := \{U \subset X \mid \exists V \in \mathcal{G}, f^{-1}(V) \subset U\}. \end{split}$$

If f is injective then $f^*\mathcal{G}:=\{f^{-1}(V)\}_{V\in\mathcal{G}}.$

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Example: $X \subset Y$ equipped with subspace topology, $\iota : X \hookrightarrow Y$, $\mathcal{N}_x^X = \iota^* \mathcal{N}_x^Y$. It was secretly used in our filter examples slide.

This is *not* inverse to push-forward, but it's also non-increasing and:

$$f_*\mathcal{F} \leq \mathcal{G} \Leftrightarrow \mathcal{F} \leq f^*\mathcal{G}.$$

Topological rings and uniform spaces

Another view on \mathbb{Z}_p

From Rob's talk: $\mathbb{Z}_p = \{x \in \mathbb{Q}_p \mid |x|_p \leq 1\}$. Direct definition?

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Using
$$\pi_n:\mathbb{Z}/p^{n+1}\to\mathbb{Z}/p^n$$

$$\begin{split} \mathbb{Z}_p &= \varprojlim_{n \geq 1} \mathbb{Z}/p^n \\ &= \left\{ (a_n)_{n \geq 1} \in \prod_{n \geq 1} \mathbb{Z}/p^n \; \middle| \; \pi_n(a_{n+1}) = a_n \right\}. \end{split}$$

Link with the completion idea?

In \mathbb{Z} , define $\mathcal{N}_0 := \{U \mid \exists n, p^n \mathbb{Z} \subset U\}$ and, for any $a \in \mathbb{Z}$, $\mathcal{N}_a = (b \mapsto a + b)_* \mathcal{N}_0$

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More generally, for R ring, and $I \subset R$ ideal:

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 $\hat{\mathbb{S}}$ It can fail to be a metric topology (non-Hausdorff).

Cauchy sequences?

Problem: a topology is not enough data to talk about completions. Remember a sequence (u_n) is Cauchy if

$$\forall \varepsilon > 0, \; \exists N, \; \forall m,n \geq N, \quad |u_m-u_n| \leq \varepsilon.$$

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Generalize to either

$$\forall \varepsilon > 0, \; \exists N, \; \forall m,n \geq N, \quad d(u_n,u_m) \leq \varepsilon$$

or

$$\forall U \in \mathcal{N}_0, \; \exists N, \; \forall m,n \geq N, \quad u_m - u_n \in U.$$

Uniform spaces

Definition

A uniform structure on a set X is a filter \mathcal{U} on $X \times X$ such that:

- every $V \in \mathcal{U}$ contains the diagonal
- $\bullet \quad ((x,y) {\mapsto} (y,x))_* \mathcal{U} {\leq} \mathcal{U}$
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 $(X,d) \text{ metric space } \rightsquigarrow V \in \mathcal{U} \Leftrightarrow \exists \varepsilon > 0, \{d(x,x') < \varepsilon\} \subset V$

(G,+) additive topological group $\rightsquigarrow \mathcal{U} = (-)^* \mathcal{N}_0.$

Uniform continuity

Uniform continuity

Recall $f : \mathbb{R} \to \mathbb{R}$ is uniformly continuous if:

$$\forall \varepsilon \ \exists \eta \ \forall x,y \quad |x-y| \leq \eta \Rightarrow |f(x)-f(y)| \leq \varepsilon.$$

Definition

A function $f:X\to Y$ between uniform spaces is uniformly continuous if

$$\forall V \in \mathcal{U}_Y, \exists U \in \mathcal{U}_X, (x,x') \in U \Rightarrow (f(x),f(x')) \in V.$$

Lemma

Uniform continuous implies continuous (Lean)

Uniform continuous implies continuous

Alternative definition: $f:X\to Y$ is uniformly continuous if $(f\times f)_*\mathcal{U}_X\leq \mathcal{U}_Y$ or, equivalently, $\mathcal{U}_X\leq (f\times f)^*\mathcal{U}_Y$

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Proof of continuity: Fix any $x \in X$, remember $\mathcal{N}_x = \iota_x^* \mathcal{U}_X$, and compute, still using $(f \times f) \circ \iota_x = \iota_{f(x)} \circ f$:

$$\begin{split} \mathcal{N}_x &= \iota_x^* \mathcal{U}_X \\ &\leq \iota_x^* (f \times f)^* \mathcal{U}_X \\ &= \iota_x^* (f \times f)^* \mathcal{U}_X \\ &= f^* \iota_{f(x)}^* \mathcal{U}_X \\ &= f^* \mathcal{N}_{f(x)} \end{split}$$

So $\mathcal{N}_x \leq f^* \mathcal{N}_{f(x)}$, hence $f_* \mathcal{N}_x \leq \mathcal{N}_{f(x)}.$

Completions

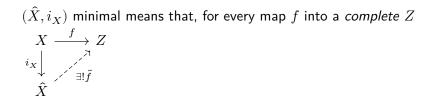
Completion functor

One can define completeness for uniform spaces (skipped here).

We want, for each uniform space X, a complete one \hat{X} , and

$$\begin{array}{ccc} X & \stackrel{f}{\longrightarrow} Y \\ i_X : X \to \hat{X} \text{ such that } i_X & & \downarrow^{i_Y} & \text{commutes} \\ & \hat{X} & \stackrel{- \cdots \to Y}{\exists ! \hat{f}} & \hat{Y} \end{array}$$

With
$$\widehat{X}$$
 "minimal", $\widehat{f\circ g}=\widehat{f}\circ \widehat{g}$, and $\widehat{\mathrm{id}_X}=\mathrm{id}_{\widehat{X}}.$

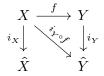


 (\hat{X},i_X) minimal means that, for every map f into a complete Z $X \xrightarrow{f} Z \xrightarrow{i_X} \underset{\hat{Y}}{\overset{\uparrow}{\longrightarrow}} Z_{\exists!\tilde{f}}$

This \cong allows to construct $\widehat{=}$ on maps: $X \xrightarrow{f} Y$ $i_X \downarrow \qquad \qquad \downarrow i_Y$ $\hat{X} \qquad \qquad \hat{Y}$

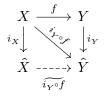
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The case of groups

 ${\cal G}$ topological group (eg. additive structure on a topological ring) We want

- topological group structure on \hat{G}
- i_G a group morphism
- $f:G\to K$ (continuous) group morphism into complete group K implies \tilde{f} group morphism

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- topological group structure on \hat{G}
- i_G a group morphism
- $f:G\to K$ (continuous) group morphism into complete group K implies \tilde{f} group morphism

Hence
$$\hat{f}$$
 is a group morphism since: $\begin{array}{c} G \xrightarrow{f} H \\ i_G \downarrow & \swarrow \\ \hat{G} \xrightarrow{\ell_{e_{o}}} & \downarrow^{i_H} \\ \hat{G} \xrightarrow{f} = \widetilde{i_H \circ f} \end{array}$

(Switch to Lean)

The issue

We have:

$$\begin{array}{cccc} (G,+,\mathcal{U}_{+,\mathcal{T}}) & \leadsto (\hat{G},\hat{+},\widehat{\mathcal{U}_{+,\mathcal{T}}}) & (\hat{G},\hat{+},\mathcal{U}_{\hat{+},\widehat{\mathcal{T}}}) \\ & & & & & & & \\ & & & & & & & \\ (G,+,\mathcal{T}) & & & & & & & \\ \end{array} \\ (G,\hat{+},\widehat{\mathcal{T}}) & & & & & & & \\ \end{array}$$

But
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But
$$\widehat{\mathcal{U}_{+,\mathcal{T}}} = \mathcal{U}_{\hat{+},\widehat{\mathcal{T}}}$$
 is not obvious in any way.

Bourbaki's solution:

- if X is any random uniform space, its completion is denoted by \hat{X} , functorial properties of \hat{X} and i_X are proved.
- if G is a topological group, prove there is a topological group which is complete, has a morphism from G etc. Denote it by \hat{G} .

A better setup

Definition

A uniform additive group is $(G,+,\mathcal{U})$ such that subtraction is uniformly continuous.

Lemma

- $\forall (G, +, \mathcal{U})$ uniform add group, $\mathcal{U} = (-)^* \mathcal{N}_0$.
- $\forall (G,+,\mathcal{T})$ commutative, $(G,+,(-)^*\mathcal{N}_0)$ is a uniform add group.
- $\forall (G, +, \mathcal{U})$ uniform add group, there exists $\hat{+}$ on \hat{G} such that $(\hat{G}, \hat{+}, \hat{\mathcal{U}})$ is a uniform add group.